11-08-05

IFW 1758

[266] Attorney Docket No.: RPC-1US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

G. Michael Morris and Tasso R. M. Sales

ial No.

09/918,408

July 30, 2001

For

STRUCTURED SCREENS FOR CONTROLLED SPREADING OF

LIGHT

Examiner

J. McPherson

Group

1756

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **EXPRESS MAIL INFORMATION**

Attached hereto are the following papers which are being mailed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated below:

- 1. Transmittal Form (PTO/SB/21; 1 page)
- 2. Supplemental Information Disclosure Statement (with attached Exhibit A, 21 pages)
- Supplemental Modified 1449 Form (1 page) 3.
- 4. Supplemental Information Disclosure Statement (1 page)
- Supplemental Modified 1449 Form (1 page) 5.
- 6. Cited Reference (2 references)
- 7. Express Mail Information (1 page; this page)
- Return Receipt Postcard (1 card) 8.

Date of mailing:

November 7, 2005

Express Mail mailing label number:

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Person depositing papers with

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Anya Klee

PTO/SB/21 (09-04)
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		Application Number	09/918.408					
IRANSMITTAL		Filing Date	July 30, 2001					
OIP E FORM		First Named Inventor	G. Michael Morris and Tasso R. M. Sales					
A STATE OF THE STA		Art Unit	1756					
NOV 0 7 2005		Examiner Name	J. McPherson					
(to be used for all correspendence after initial filling)		Attorney Docket Number						
Total Number of Pages of	This Submission 26		RPC-1US					
ENCLOSURES (Check all that apply)								
Fee Transmittal Fo	orm I	Drawing(s)			Nowance Communication to TC			
Fee Attach	ed L	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
Allelidillelibreply		Petition Petition to Convert to a		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final F		Provisional Application	Proprietary Information		etary Information			
		Power of Attorney, Revocation Change of Correspondence Address		Status	Status Letter			
Extension of Time Request		Terminal Disclaimer	[	Other Enclosure(s) (please Identify below):  Return Receipt Postcard Modified 1449 Forms (2) Cited References (2)				
		Request for Refund						
X Information Disclosure Statement		CD, Number of CD(s)		Cite	d References (2)			
Landscape Table on CD								
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Papers transmitted herewith:  Transmittal Form (PTO/SB/21; 1 page; this page)  Supplemental Information Disclosure Statement (with Exhibit A, 21 pages)  Supplemental Modified 1449 Form (1 page)  Supplemental Information Disclosure Statement (1 page)  Supplemental Modified 1449 Form (1 page)  Cited Reference (2 references)  Express Mail Information (1 page)  Return Receipt Postcard (1 card)						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Name Ma	aurice M. Klee, Ph.D.							
Signature Macuue Zlee								
Printed name								
Date 7/	Date 7/11/05		Reg. No.	30,399				
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: (sent by Express Mail Number ED308754416).								
Signature Maurile Hel								
Typed or printed name Maurice M. M		n.D.		Date	7/11/05			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR §1.56, 37 CFR §1.97(c)(1), and 37 CFR §1.97(e)(1), applicants wish to call the Examiner's attention to Reference 89 listed on the Supplemental Modified 1449 Form submitted herewith. A copy of this reference is enclosed.

The undersigned certifies that Reference 89 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, i.e., in an August 16, 2005 Supplementary Partial European Search Report regarding applicants' corresponding European Application No. 01963752.9. Copies of the August 16th search report and the claims to which that report is directed are attached hereto as Exhibit A. The remaining references of this search report have previously been made of record in this application.

No fee under 37 CFR §1.17(p) is believed to be due for this submission. However, if a fee is required, applicants request that the fee be charged to Deposit Account No. 11-1158.

The Examiner is respectfully requested to initial a copy of the Supplemental Modified 1449 Form and return it to applicants to indicate consideration of the reference listed thereon in connection with the prosecution of this application.

Date: 11/7/05

Respectfully submitted,

Maurice M. Klee, Ph.D.

Reg. No. 30,399

Attorney for Applicant

1951 Burr Street Fairfield, CT 06824

(203) 255-1400

[266] Attorney Docket No.: RPC-1US

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

G. Michael Morris and Tasso R. M. Sales

Serial No.

09/918,408 July 30, 2001

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### **SUPPLEMENTAL MODIFIED 1449 FORM**

## **FOREIGN PATENT DOCUMENTS**

Examiner

Document

<u>Initial</u>

Number

<u>Date</u>

Country

8

89. WO 99/50914

10/1999

**PCT** 

266P Attorney Docket No. : RPC-1US

PATENT

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#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR §1.56, 37 CFR §1.97(c)(1) and 37 CFR §1.97(e)(2), applicants wish to call the Examiner's attention to References 90, 91, and 92 (copy enclosed) listed on the Supplemental Modified 1449 Form submitted herewith.

Pursuant to 37 CFR §1.98(a)(2)(ii), no copies of References 90 and 91 are submitted herewith. However, if for any reason, the Examiner believes it is necessary or desirable for applicants to provide copies of these US patent references, the Examiner is requested to advise the undersigned attorney and copies will be provided.

The undersigned certifies that References 90, 91, and 92 were not cited in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

No fee under 37 CFR §1.17(p) is believed to be due for this submission. However, if a fee is required, applicants request that the fee be charged to Deposit Account No. 11-1158.

The Examiner is respectfully requested to initial a copy of the Supplemental Modified 1449 Form and return it to applicants to indicate consideration of the references listed thereon in connection with the prosecution of this application.

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## SUPPLEMENTAL MODIFIED 1449 FORM

# **U.S. PATENT DOCUMENTS**

Examiner <u>Initial</u>		Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>		
	90.	6,014,259	1/2000	Wohlstadter		
	91.	6,490,086	12/2002	Morishima		
		FOREIGN PATENT DOCUMENTS				
Examiner <u>Initial</u>		Document Number	Date	<u>Country</u>		
	92.	2001-074918	03/2001	JР		

with computer translation